

UNITED STATES PATENT AND TRADEMARK OFFICE

Consessioner for Patents, Box PC United States Patent and Tradamark Office Washington, D.C. 2023

ATTY. DOCKET NO FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. 10/031,344 Yoshiki Wakizaka 037267-0142

INTERNATIONAL APPLICATION NO.

PCT/JP00/04901

PRIORITY DATE I.A. FILING DATE 07/22/1999

07/21/2000

CONFIRMATION NO. 6436 371 FORMALITIES LETTER

OC000000007694071

22428 **FOLEY AND LARDNER** SUITE 500 3000 K STREET NW WASHINGTON, DC 20007

Date Mailed: 03/22/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- · U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Substitute Specification

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

SHAKEEL AHMED

Telephone: (703) 305-3659

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.			
10/031,344	PCT/JP00/04901	037267-0142			

FORM PCT/DO/EO/905 (371 Formalities Notice)



JC02 Rec'd PCT/PTO 1 0 MAY 2002

	M PTO-1390 ((Modified) U.S. DEPARTMENT	OF COMMERCE PATENT AND TRADEMARK OFFICE	Ē	ATTORNEY'S DOCKET NUMBER					
(KEV	TRANSMITTAL LETTER TO THE UNITED STATES 037267-0142									
	DESIGNATED/ELECTED OFFICE (DO/EO/US)									
	CONCERNING A FILING UNDER 35 U.S.C. 371									
				10/0	CATION NO. (If known, see 37 C.F.R. 1.5) 31,344					
	ERNATION PCT/JP0	ONAL APPLICATION NO.	INTERNATIONAL FILING DATE 07/21/2000		TY DATE CLAIMED 2/1999					
TIT	LE OF IN	VENTION								
	CELLULAR SYSTEM AND METHOD OF MAKING COMMUNICATION IN MULTI-CODE CDMA									
		(S) FOR DO/EO/US VAKIZAKA								
App	Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:									
1.		This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.								
2.	\boxtimes	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.								
3.		This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).								
4.		A proper Demand for International Preliminary Examination was made by the 19 th month from the earliest claimed priority date.								
5.		A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is transmitted herewith (required only if not transmitted by the International Bureau). has been transmitted by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US)								
6.		A translation of the International Application into English (35 U.S.C. 371(c)(2)).								
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are transmitted herewith (required only if not transmitted by the International Bureau). have been transmitted by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made.								
8.		A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).								
9.	\boxtimes	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).								
10.		A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).								
11.		Applicant claims small entity status under 37 CFR 1.27 .								
lten	ns 12. to	17. below concern other docu	ment(s) or information included:							
12.		An Information Disclosure Statement under 37 CFR 1.97 and 1.98.								
13.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.								
14.		A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment.								
15.		A substitute specification.								
16.		A change of power of attorney and/or address letter.								
17.		Other items or information: Copy of Notification of Missing Requirements (2 pgs.).								

					AL APPLICATION NO. P00/04901			ATTORNEY'S DOCKET NUMBER 037267-0142			
18. ⊠The following fees are submitted:				1/31	0070470	•			CALCULATIONS PTO USE ONLY		
Basic National Fee (37 CFR 1.492(a)(1)-(5):											
Search Report has been prepared by the EPO or JPO\$890.00											
International preliminary examination fee paid to USPTO (37 CFR 1.482)											
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)\$740.00											
Neither international preliminary examination fee (37 CFR 1.482) nor International search fee (37 CFR 1.445(a)(2)) paid to USPTO\$1,040.00											
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)\$100.00											
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Surcharge of \$130	_	•							\$13	0.00	
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Claims	Number Filed		Included in Ba	sic	Extra Claims		Rai	te			
Total Claims		-	20	=	0	×		3.00		0.00	
Independent Claims		-	3	=	0	×	\$84	1.00	\$	0.00	
Multiple dependent	claim(s) (if appl						\$280				
			OTAL OF A	BOVE	CALCU	LAT	IONS	=	\$130		
Reduction by ½ for filing by small entity, if applicable.							\$	\$0.00			
					S	JBT	OTAL	=	\$130	\$130.00	
Processing fee of \$ months from the ea								+			
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a. 🛛 A check i	n the amount of	\$130.	.00 to cover the	above	e fees is er	iclose	ed.				
b. Please charge my Deposit Account No. <u>19-0741</u> in the amount of \$0.00 to the above fees. A duplicate copy of this sheet is enclosed.								y of this sheet is			
c. 🖂 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any											
overpayment to Deposit Account No. <u>19-0741</u> . A duplicate copy of this sheet is enclosed.											
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.											
SEND ALL CORRESPO	NDENCE TO:						0/:	0//	10 11	1.1	1
Foley & Lardner Customer Number: 22428											
NAME DAVID A BLUMENTHAL											
11111111111111111111111111111111111111											
22428 REGISTRATION NUMBER 26,257											
PATENT TRADEN	AARK OFFICE										